

Summary Dashboard

A dashboard showing the summary of the results of the latest compliance checklist is shown below:

No.	Check	Compliant	Deadline
Reporting Duties			
A1			
A2			
A3			
Knowledge and Understanding			
B1			
B2			
B3			
B4			
B5			
B6			
B7			
B8			
B9			
B10			
B11			
B12			31/08/2019
Conflicts of Interest			
C1			
C2			
C3			
C4			
C5			
C6			
C7			31/10/2019
C8			
C9			
C10			
C11			
Publishing Information			
D1			
D2			31/08/2019
D3			
D4			
Risk and Internal Controls			
E1			31/03/2020
E2			31/03/2020
E3			
E4			31/03/2020
E5			

E6			
E7			31/03/2020
E8			31/03/2020
Maintaining Accurate Member Data			
F1			
F2			
F3			31/03/2021
F4			
F5			
F6			
F7			31/03/2020
F8			
F9			31/12/2019
F10			
F11			
Maintaining Contributions			
G1			
G2			
G3			
G4			
G5			
G6			
G7			
G8			
G9			
Providing Information to Members and Others			
H1			
H2			
H3			
H4			
H5			
H6			
H7			
H8			
H9			
H10			
H11			
H12			
Internal Dispute Resolution			
I1			
I2			
I3			
I4			31/12/2019

I5			
I6			
I7			
I8			
I9			
Reporting Breaches			
J1			
J2			
J3			